

PERIODIC DISCLOSURES

FORM NL-37-DOWN GRADING OF INVESTMENTS

Company Name & Code: ECGC LTD (124)

Statement as on: December 31, 2018

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

No	Name of the Security	COI	Face Value' Amount (Rs in crs)	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade
A.	<i>During the Quarter '1</i>							
1	10.30% YES BANK LTD 2021	EBPT	5.00	25-Jul-2011	ICRA	AA+	AA	28-Nov-2018
2	9.30% YES BANK LTD 2020	EBPT	5.00	9-Jan-2013	ICRA	AA+	AA	28-Nov-2018
3	9.65% YES BANK LTD 2020	EBPT	5.00	21-Nov-2014	ICRA	AA+	AA	28-Nov-2018
4	9.90% YES BANK LTD 2022	EBPT	25.00	25-Apr-2013	ICRA	AA+	AA	28-Nov-2018
	As on Date							
1	9.40% Tata Power Co. Ltd 2022	IODS	5.00	09-Apr-13	CRISIL	AA	AA-	17-Oct-2013
2	8.60% STEEL AUTHORITY OF INDIA LTD 2019	OBPT	10.00	03-Feb-10	CRISIL	AA+	AA-	2-Aug-2017
3	8.75% STEEL AUTHORITY OF INDIA LTD 2020	OBPT	15.00	29-Apr-10	CRISIL	AA+	AA-	2-Aug-2017
4	8.72% STEEL AUTHORITY OF INDIA LTD 2020	OBPT	10.00	30-Apr-10	CRISIL	AA+	AA-	2-Aug-2017
5	9.35% STEEL AUTHORITY OF INDIA LTD 2026	OBPT	5.00	20-Sep-11	CRISIL	AA+	AA-	2-Aug-2017
6	8.93% Oriental Bank of Commerce	OBPT	5.00	05-Dec-12	ICRA	AA	A+	14-Sep-2018
7	8.53% IDBI Bank 2019	OBPT	10.00	23-Nov-09	ICRA	AA	A	30-Aug-2018
8	9.15% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2020	IODS	10.00	02-Mar-10	CARE	AAA	D	17-Sep-2018
9	9.20% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2020	IODS	5.00	02-Jul-14	CARE	AAA	D	17-Sep-2018
10	9.82% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2022	IODS	10.00	31-Jan-12	CARE	AAA	D	17-Sep-2018
11	9.80% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2022	IODS	10.00	12-Jun-12	CARE	AAA	D	17-Sep-2018
12	9.10% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2020	IODS	5.00	31-Jan-13	CARE	AAA	D	17-Sep-2018
13	9.95% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2019	IODS	10.00	13-Feb-14	CARE	AAA	D	17-Sep-2018
14	9.90% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2021	IODS	10.00	20-Feb-14	CARE	AAA	D	17-Sep-2018
15	9.50% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2024	IODS	10.00	01-Aug-14	CARE	AAA	D	17-Sep-2018
16	9.55% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2024	IODS	10.00	20-Aug-14	CARE	AAA	D	17-Sep-2018
17	9.00% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2024	IODS	25.00	31-Dec-14	CARE	AAA	D	17-Sep-2018
18	8.90%IDFC BANK LIMITED	ICTD	5.00	14-Jul-16	INDIA RATING	AAA	AA+	27-Jun-2018
19	8.65% IDFC BANK LIMITED	ICTD	5.00	15-Dec-15	INDIA RATING	AAA	AA+	27-Jun-2018
20	8.63% IDFC BANK LTD 2020	ICTD	15.00	15-Dec-15	INDIA RATING	AAA	AA+	27-Jun-2018
21	10.30% YES BANK LTD 2021	EBPT	5.00	25-Jul-2011	ICRA	AA+	AA	28-Nov-2018
22	9.30% YES BANK LTD 2020	EBPT	5.00	9-Jan-2013	ICRA	AA+	AA	28-Nov-2018
23	9.65% YES BANK LTD 2020	EBPT	5.00	21-Nov-2014	ICRA	AA+	AA	28-Nov-2018
24	9.90% YES BANK LTD 2022	EBPT	25.00	25-Apr-2013	ICRA	AA+	AA	28-Nov-2018

Dated: February 13, 2019

<https://www.ecgc.in>

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04